

Compliance Report on Corporate Governance under Regulation 27(2) of SEBI(Listing Obligations & Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
 2. Quarter ending: **31st December, 2016**

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/N on-Executive/in dependent/Nominee) &	Date of Appointment in the current term/cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer
Mr.	Deepa Nanda	PAN: AVGPK3223Q DIN: 06535125	Whole Time Director	14/05/2013	N/A	1	3	0
Mr.	Sankar Barik	PAN: DXTPS9799M DIN: 06535363	Independent Director	01/04/2013	45 Months	1	3	3
Mr.	Rajni	PAN: EVJPS7454G DIN: 06553628	Executive Director/CFO	14/08/2013	N/A	1	2	0

II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)
1. Audit Committee	1. Rajni 2. Sankar Barik 3. Deepa Nanda	Executive Independent Executive
2. Nomination & Remuneration Committee	1. Rajni 2. Sankar Barik 3. Deepa Nanda	Executive Independent Executive
3. Risk Management Committee(if applicable)		
4. Stakeholders Relationship Committee'	1.Sankar Barik 2.Deepa Nanda	Independent Executive

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
10/08/2016	10/11/2016	91 days

IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
10/08/2016	Yes, Audit Committee, all members were present at meeting	10/11/2016	91 days

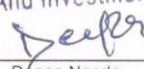
V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee
 - Nomination & remuneration committee
 - Stakeholders relationship committee
 - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

Date: January 04, 2017
Place: New Delhi

For Little Rock Trade & Investment Ltd.
 For Little Rock Trade And Investment Ltd.

 Deepa Nanda
 Director (Authorised Signatory)